

**FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS**

**PART - A**

**Name of the Insurer: Universal Sampo General Insurance Company Limited**

**Registration Number: 134**

**Statement as on: 30th June 2022**

**Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance) '(Business within India)**

**Periodicity of Submission: Quarterly**

**(Rs. in Lakhs)**

<b>Section I</b>			
<b>No</b>	<b>PARTICULARS</b>	<b>SCH ++</b>	<b>AMOUNT</b>
1	Investments (Shareholders)	8	73,082.55
	Investments (Policyholders)	8A	2,74,205.09
2	Loans	9	-
3	Fixed Assets	10	2,964.70
4	Deferred Tax Assets		1,721.80
5	Current Assets		
	a. Cash & Bank Balance	11	8,177.19
	b. Advances & Other Assets	12	1,10,870.39
6	Current Liabilities		-
	a. Current Liabilities	13	2,54,486.58
	b. Provisions	14	1,02,432.85
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		
	<b>Application of Funds as per Balance Sheet (A)</b>		<b>1,14,102.29</b>
	<b>Less: Other Assets</b>	<b>SCH ++</b>	<b>Amount</b>
1	Loans (if any)	9	0.00
2	Fixed Assets (if any)	10	2964.70
3	Deferred Tax Assets		1721.80
4	Cash & Bank Balance (if any)	11	8177.19
5	Advances & Other Assets (if any)	12	110870.39
6	Current Liabilities	13	254486.58
7	Provisions	14	102432.85
8	Misc. Exp not Written Off	15	0.00
9	Investments held outside India		
10	Debit Balance of P&L A/c		
	<b>Total (B)</b>		<b>-233185.35</b>
	<b>'Investment Assets'</b>	<b>(A-B)</b>	<b>3,47,287.64</b>

<b>Section II</b>										
<b>No</b>	<b>'Investment' represented as</b>	<b>Reg. %</b>	<b>SH</b>		<b>PH</b>	<b>Book Value (SH + PH)</b>	<b>% Actual</b>	<b>FVC Amount</b>	<b>Total</b>	<b>Market Value (h)</b>
			<b>Balance</b>	<b>FRSM<sup>+</sup></b>						
			<b>(a)</b>	<b>(b)</b>						
				<b>(c)</b>	<b>d = (a+b+c)</b>	<b>e = (d-a) %</b>	<b>(f)</b>	<b>(g)=(d+f)</b>		
1	Central Govt. Securities	Not less than 20%	-	24,406.70	91,573.71	<b>1,15,980.40</b>	33.27	0.00	1,15,980.40	1,11,150.68
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	34,207.26	1,28,345.33	<b>1,62,552.59</b>	46.63	0.00	1,62,552.59	1,56,643.70
3	<b>Investment subject to Exposure Norms</b>		-	39,144.78	1,46,870.86	<b>1,86,015.63</b>	<b>53.37</b>	<b>-280.58</b>	<b>1,85,735.06</b>	<b>1,80,813.24</b>
	a. Housing / Infra & Loans to SG for Housing and FFE						0.00			
	1. Approved Investments	Not less than 15%	-	24,744.89	92,842.61	<b>1,17,587.50</b>	33.73	-43.51	1,17,544.00	1,14,639.38
	2. Other Investments		-	0.00	0.00	<b>0.00</b>	0.00	0.00	0.00	0.00
	b. Approved Investments	Not exceeding 55%	-	13,869.86	52,039.59	<b>65,909.45</b>	18.91	-159.38	65,750.07	64,732.88
	c. Other Investments		-	530.03	1,988.65	<b>2,518.67</b>	0.72	-77.69	2,440.99	1,440.99
	<b>Investment Assets</b>	<b>100%</b>		73,352.03	2,75,216.19	<b>3,48,568.22</b>	<b>100.00</b>	<b>-280.58</b>	<b>3,48,287.64</b>	<b>3,37,456.94</b>

**Certification:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

**Note:**

1. (+) FRSM refers 'Funds representing Solvency Margin'
2. Other Investments' are as permitted under 27A(2)
3. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
4. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
5. SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
6. Investment Regulations, as amended from time to time, to be referred

**PART - B**

Name of the Insurer: Universal Sampo General Insurance Company Limited

Registration Number: 134

Statement as on: 30th June 2022

Statement of Accretion of Assets

**(Rs. Lakhs)**

(Business within India)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities		1,18,813.93	31.69	-2,833.53	10.77	1,15,980.40	33.27
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl		1,66,490.78	44.41	-3,938.19	14.97	1,62,552.59	46.63
3	<b>Investment subject to Exposure Norms</b>				0.00			
	a. Housing & Loans to SG for Housing and FFE				0.00			
	1. Approved Investments		50,748.42	13.54	-4,498.52	17.10	46,249.90	13.27
	2. Other Investments				0.00			
	b. Infrastructure Investments				0.00			
	1. Approved Investments		72,384.13	19.31	-1,078.71	4.10	71,305.41	20.46
	2. Other Investments				0.00			
	c. Approved Investments		82,862.85	22.10	-16,921.21	64.33	65,941.64	18.92
	d. Other Investments (not exceeding 15%)		2,387.21	0.64	131.47	-0.50	2,518.67	0.72
	Total		3,74,873.38	100.00	-26,305.16	100.00	3,48,568.22	100.00

**Note:**

1. Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
2. Investment Regulations, as amended from time to time, to be referred